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30076      7590      09/26/2005

BROWN RAYSMAN MILLSTEIN FELDER & STEINER,  
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Melinda E. Hallmark	(Depositor's name)
<i>Melinda E. Hallmark</i>	(Signature)
October 24, 2005	(Date)

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/006,933	12/04/2001	Demetri Psaltis	0007975-0018	9300

TITLE OF INVENTION: TUNABLE HOLOGRAPHIC DROP FILTER WITH QUASI PHASE-CONJUGATE FIBER COUPLING

APPLN. TYPE	SMALL ENTITY	ISSUE FEE	PUBLICATION FEE	TOTAL FEE(S) DUE	DATE DUE
nonprovisional	YES	\$700	\$300	\$1000	12/27/2005
EXAMINER	ART UNIT	CLASS-SUBCLASS			
KANG, JULIANA K	2874	385-033000			

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1. Change of correspondence address or indication of "Fee Address" (37 CFR 1.363).

2. For printing on the patent front page, list  
 (1) the names of up to 3 registered patent attorneys or agents OR, alternatively,  
 (2) the name of a single firm (having as a member a registered attorney or agent) and the names of up to 2 registered patent attorneys or agents. If no name is listed, no name will be printed.

1 BROWN RAYSMAN  
MILLSTEIN FELDER  
2 & STEINER LLP  
3 \_\_\_\_\_

3. ASSIGNEE NAME AND RESIDENCE DATA TO BE PRINTED ON THE PATENT (print or type)

PLEASE NOTE: Unless an assignee is identified below, no assignee data will appear on the patent. If an assignee is identified below, the document has been filed for recordation as set forth in 37 CFR 3.11. Completion of this form is NOT a substitute for filing an assignment.

(A) NAME OF ASSIGNEE

CALIFORNIA INSTITUTE OF TECHNOLOGY

(B) RESIDENCE: (CITY AND STATE OR COUNTRY)

Pasadena, CA USA  
 1 FC:2501 700.00 DA  
 02 FC:1504 300.00 DA  
 03 FC:8001 9.00 DA

10/27/2005 DEMMANU2 00000065 502811 1000693

Please check the appropriate assignee category or categories (will not be printed on the patent):  Individual  Corporation or other private group entity  Government

4a. The following fee(s) are enclosed:

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 Advance Order - # of Copies 3

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The Director is hereby authorized by charge the required fee(s), or credit any overpayment, to Deposit Account Number 502811 (enclose an extra copy of this form).

5. Change in Entity Status (from status indicated above)

a. Applicant claims SMALL ENTITY status. See 37 CFR 1.27.  b. Applicant is no longer claiming SMALL ENTITY status. See 37 CFR 1.27(g)(2).

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Authorized Signature

Date October 24, 2005

Typed or printed name J. D. Harriman

Registration No. 31,967

This collection of information is required by 37 CFR 1.311. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, Virginia 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, Virginia 22313-1450.

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